

Tony Kershaw
Director of Law and Assurance

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26 October 2018

Regulation, Audit and Accounts Committee

A meeting of the committee will be held at **10.30 am** on **Monday, 5 November 2018** at **County Hall, Chichester**.

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Agenda

1. Declarations of Interest

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. Minutes of the last meeting of the Committee (Pages 3 - 14)

The Committee is asked to agree the minutes of the meeting held on 23 July 2018 (cream paper).

3. Urgent Matters

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. Quarterly Review of the Corporate Risk Register (Pages 15 - 28)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to review the information detailed in the report and the current Corporate Risk Register, and provide comment as necessary.

5. External Audit

The Committee is asked to note the Annual Audit Letter; and the 2018/19 fee letters for the County Council and Pension Fund that have been received from the External Auditor EY.

(a) Annual Audit Letter (Pages 29 - 54)

(b) **West Sussex County Council - 2018-19 Fee Letter** (Pages 55 - 58)

(c) **West Sussex Pension Fund - 2018-19 Fee Letter** (Pages 59 - 62)

6. **Internal Audit Progress Report - October 2018** (Pages 63 - 84)

Report by the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress report.

7. **Annual Governance Statement - Action Plan** (Pages 85 - 92)

Report by the Director of Finance, Performance and Procurement and Director of Law and Assurance

The Committee is asked to note progress against actions arising from the Annual Governance Statement 2017-18.

8. **Staff Induction** (Pages 93 - 98)

Report by Director of Human Resources & Organisational Change.

The Committee is asked to consider the recommendations in the report relating to the progress with staff inductions.

9. **Treasury Management Compliance Report - Second Quarter 2018/19**
(Pages 99 - 104)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to note the report.

10. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 23 January 2019 at County Hall, Chichester.

To all members of the Regulation, Audit and Accounts Committee